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17157 U.S.PTO

PTO/SB/05 (08-03)  
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## UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.	SLU 02-009
First Inventor	HUANG, Jung San
Title	Compositions and Methods
Express Mail Label No.	ER 170154324 US

00727 U.S.PTO  
10/748703

123003

<b>APPLICATION ELEMENTS</b> See MPEP chapter 600 concerning utility patent application contents.	<b>ADDRESS TO:</b> Mail Stop Patent Application Commissioner for Patents P.O. Box 1450 Alexandria VA 22313-1450
1. <input type="checkbox"/> Fee Transmittal Form (e.g., PTO/SB/17) <i>(Submit an original and a duplicate for fee processing)</i>	
2. <input checked="" type="checkbox"/> Applicant claims small entity status. <i>See 37 CFR 1.27.</i>	
3. <input checked="" type="checkbox"/> Specification [Total Pages <u>29</u> ] <i>(preferred arrangement set forth below)</i> <ul style="list-style-type: none"> <li>- Descriptive title of the invention</li> <li>- Cross Reference to Related Applications</li> <li>- Statement Regarding Fed sponsored R &amp; D</li> <li>- Reference to sequence listing, a table, or a computer program listing appendix</li> <li>- Background of the Invention</li> <li>- Brief Summary of the Invention</li> <li>- Brief Description of the Drawings (<i>if filed</i>)</li> <li>- Detailed Description</li> <li>- Claim(s)</li> <li>- Abstract of the Disclosure</li> </ul>	
4. <input checked="" type="checkbox"/> Drawing(s) (35 U.S.C. 113) [Total Sheets <u>7</u> ]	
5. Oath or Declaration [Total Sheets <u>      </u> ]	
a. <input checked="" type="checkbox"/> Newly executed (original or copy)	
b. <input type="checkbox"/> Copy from a prior application (37 CFR 1.63(d)) <i>(for continuation/divisional with Box 18 completed)</i>	
i. <input type="checkbox"/> <b>DELETION OF INVENTOR(S)</b> <i>Signed statement attached deleting inventor(s) name in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).</i>	
6. <input type="checkbox"/> Application Data Sheet. See 37 CFR 1.76	
7. <input type="checkbox"/> CD-ROM or CD-R in duplicate, large table or Computer Program ( <i>Appendix</i> )	
8. Nucleotide and/or Amino Acid Sequence Submission <i>(if applicable, all necessary)</i>	
a. <input type="checkbox"/> Computer Readable Form (CRF)	
b. <input type="checkbox"/> Specification Sequence Listing on:	
i. <input type="checkbox"/> CD-ROM or CD-R (2 copies); or	
ii. <input type="checkbox"/> Paper	
c. <input type="checkbox"/> Statements verifying identity of above copies	

### ACCOMPANYING APPLICATION PARTS

- |     |   |
|-----|---|
| 9.  | <input type="checkbox"/> Assignment Papers (cover sheet & document(s))  |
| 10. | <input type="checkbox"/> 37 CFR 3.73(b) Statement <input checked="" type="checkbox"/> Power of Attorney<br><i>(when there is an assignee)</i> |
| 11. | <input type="checkbox"/> English Translation Document ( <i>if applicable</i> )  |
| 12. | <input type="checkbox"/> Information Disclosure Statement (IDS)/PTO-1449 <input type="checkbox"/> Copies of IDS Citations                     |
| 13. | <input type="checkbox"/> Preliminary Amendment  |
| 14. | <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503)<br><i>(Should be specifically itemized)</i>                            |
| 15. | <input type="checkbox"/> Certified Copy of Priority Document(s)<br><i>(if foreign priority is claimed)</i>                                    |
| 16. | <input type="checkbox"/> Nonpublication Request under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.     |
| 17. | <input type="checkbox"/> Other: .....   |

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in the first sentence of the specification following the title, or in an Application Data Sheet under 37 CFR 1.76: This application claims priority to Provisional Patent Application No. 60/437,034, filed on December 31, 2002.

Continuation       Divisional       Continuation-in-part (CIP)      of prior application No. 60/437,034.....

Prior application information: Examiner \_\_\_\_\_ Art Unit: \_\_\_\_\_  
 For CONTINUATION OF DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

### 19. CORRESPONDENCE ADDRESS

<input checked="" type="checkbox"/> Customer Number: <u>33436</u>		<input type="checkbox"/> OR <input type="checkbox"/> Correspondence address below		
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City	State	Zip Code		
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Name (Print/Type)	Registration No. (Attorney/Agent)		Date	
Signature			12/30/2003	

This collection of information is required by 37 CFR 1.53(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

Date 30 December 2003

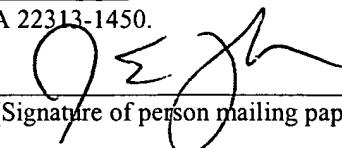
Docket No. SLU 02-009

IN THE USPTO

CERTIFICATION UNDER 37 CFR 1.10

I hereby certify that this New Application Transmittal and the documents referred to as enclosed therein are being deposited with the United States Postal Service on this 30 December 2003 in an envelope as "Express Mail Post Office to Addressee" Mailing Label Number ER 170154324 US addressed to: Mail Stop Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Joseph E. Zahner  
(Type name of person mailing paper)

  
(Signature of person mailing paper)

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Alexandria, VA 22313-1450

NEW PATENT APPLICATION TRANSMITTAL

Transmitted herewith for filing is the patent application of

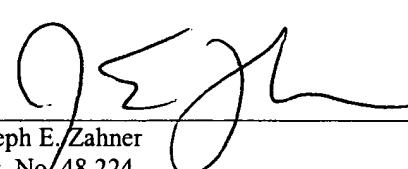
Applicant(s): HUANG, Jung San

For : Compositions and Methods of Modulating TGF- $\beta$  Activity by Fatty Acids

Enclosed are:

1. Return receipt postcard
2. This certificate of express mailing under 37 CFR 1.10
3. Utility patent application transmittal form
4. Power of Attorney and Correspondence Address Indication Form
5. Declaration (2 sheets)
6. 24 pages of Description
7. 4 pages of Claims
8. 1 page abstract
9. 7 pages Drawings

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